

# Notice and Access - making the choice

## BROADRIDGE MAKES THE CHOICE EASY

Broadridge is prepared to manage your registered, beneficial and employee shareowner segments.

## BROADRIDGE IS READY TO ASSIST YOU

While the potential benefits of Notice and Access is evidenced by the growth of electronic delivery, the questions facing issuers is whether or not the solution is right for them and their shareowners.

## Contact us

For more information about how Broadridge can help facilitate your decision making process and your implementation of Notice and Access, please contact us at +1 800 353 0103.

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## THE SEC NOTICE AND ACCESS RULE PROVIDES ISSUERS WITH NEW CHOICES

Corporate issuers can mail a Notice to shareowners instead of a traditional proxy package, which will direct them to a website to view material, vote their shares and request paper copies.

- Issuers have the opportunity to leverage the Internet to reduce the costs associated with the annual meeting process.
  - Savings may result from lower printing and postage costs.
- To implement Notice and Access, issuers must meet new requirements.

## NOTICE AND ACCESS AT A GLANCE

Beginning July 1, 2007, the U.S. Securities and Exchange Commission's Notice and Access rule went into effect. The rule gives public companies an alternative method of providing proxy materials to shareowners.

Rather than automatically sending shareowners a complete set of proxy materials by mail, companies may choose instead to mail a "Notice of Internet Availability of Capital P & M."

- The Notice will include links where the proxy materials can be viewed on the Internet and a link to an Internet voting site.
- The Notice will also include instructions for requesting proxy materials by email at no cost to shareowners requesting them.

This new, optional model may allow corporate issuers to lower the cost of the proxy process through reduced print and postage expenses. Among other items, the Notice must include:

- The Notice must be written in English and contain the date, time and location of the meeting.
- The availability of the proxy materials at a specific website address; a toll-free phone number, e-mail address and a website that shareowners may use to request copies of the proxy materials; and a clear description of the matters to be considered at the meeting.
- If a shareowner requests, issuers must send a copy of the materials (electronically or hard copy) within three business days after receiving the request.
- A shareowner may make a permanent election to receive all proxy materials in paper or by email with respect to future proxies.
- The proxy may not accompany the original Notice, however, a proxy card may be sent along with another copy of the Notice 10 days or more after the initial mailing.

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